

## Valve and Method For Repairing a Valve Under Pressure

Full name of second inventor Th mas A. SOVILLA  
 Inventor's signature *Thomas A. Sovilla* Date 7/28/03  
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**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(b)) -- INDEPENDENT INVENTOR**

Docket Number  
**1344**

**Applicant:** Jeffrey L. Maichel and Thomas A. Sovilla  
**Serial No:** not yet assigned  
**Filed:** filed herewith  
**Title:** **VALVE AND METHOD FOR REPAIRING A VALVE UNDER PRESSURE**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office regarding the invention described in:

- ☒ the specification filed herewith with the title listed above.  
☐ the application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed and I am under no obligation under contract or law to assign, grant, convey, or license and rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.\*

- ☐ No such person, concern, or organization exists.  
☒ Each such person, concern, or organization listed below.

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name OCCLUDE, a California corporation  
Address 16450 Phoebe Avenue; La Mirada, California 90638  
☐ INDIVIDUAL ☒ SMALL BUSINESS ☐ NONPROFIT

Name \_\_\_\_\_  
Address \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS ☐ NONPROFIT

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

**Jeffrey L. Maichel**

Name of Inventor



Signature of Inventor

Date

7/28/03

**Thomas A. Sovilla**

Name of Inventor



Signature of Inventor

Date

7/28/03

Name of Inventor

Signature of Inventor

Date

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN**

Docket Number  
**1344**

**Applicant:** Jeffrey L. Maichel and Thomas A. Sovilla  
**Serial No:** not yet assigned  
**Filed:** filed herewith  
**Title:** **VALVE AND METHOD FOR REPAIRING A VALVE UNDER PRESSURE**

I hereby declare that I am an official of and empowered to act on behalf of the small business concern whose name and address is:

**OCCLUDE a California corporation, 16450 Phoebe Avenue; La Mirada, CA 90638**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- [ **xx** ] the specification filed herewith with the title listed above.  
 [ ] the application identified above.  
 [ ] the patent identified above.

If the rights held by the above identified small business are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor(s), who would not qualify as an independent inventor under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). Each person, concern, or organization having any rights in the invention is listed below.

- [ **xx** ] no such person, concern, or organization exists.  
 [ ] each such person, concern, or organization is listed below.

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).


I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

The Name, Title, and Address of the person signing is:

**Thomas A. Sovilla, President**  
**OCCLUDE**  
**16450 Phoebe Avenue**  
**La Mirada, California 90638**

Signature

  
 Thomas A. Sovilla

Date

7/28/03